Heptagon Fund Plc
UK reporting fund status Report to Investors
Reporting Period Ended 30 September 2020

Notes
1 All per-share figures to 4 decimal places of relevant currency
2 Distribution per share - as at time distribution made
3 Excess is over sum of amounts distributed for the fund's reporting period
4 Excess apportioned among shares at Reporting period end date
5 Date on which Reported Income / excess of Reported Income over distributions taxable in investor's hands: reporting period end date + 6 months
6 Where funds apply full Equalisation

UMBRELLA FUND NAME	SUB FUND NAME	SHARE CLASS / SERIES NAME	ISIN / Identifier	HMRC REFERENCE	Sub Fund Currency	PER UNIT EXCESS REPORTABLE INCOME OVER DISTRIBUTIONS IN RESPECT OF THE REPORTING PERIOD (1) (3) (4)	FUND DISTRIBUTION DATE (5)	DOES THE FUND REMAIN A REPORTING FUND AT THE DATE THIS REPORT IS MADE AVAILABLE?	DISTRIBUTION PER UNIT IN RESPECT OF THE REPORTING PERIOD (2)	DATE OF DISTRIBUTION	DISTRIBUTION PER UNIT IN RESPECT OF THE REPORTING PERIOD (2)	DATE OF DISTRIBUTION	DISTRIBUTION PER UNIT IN RESPECT OF THE REPORTING PERIOD (2)	DATE OF DISTRIBUTION	DISTRIBUTION PER UNIT IN RESPECT OF THE REPORTING PERIOD (2)	DATE OF DISTRIBUTION	EQUALISATION AMOUNT PER SHARE IN RELATION TO ANY INTEREST ACQUIRED BY WAY OF INITIAL PURCHASE IN THE REPORTING PERIOD (6)
Heptagon Fund Plc	Yacktman US Equity Fund	Class A US$	IE00B3N32X37	H0041-0009	USD	0.0000	31 March 2021	Yes									0.2659
Heptagon Fund Plc	Yacktman US Equity Fund	Class AD US$	IE00B959F873	H0041-0041	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class AE EUR	IE00B95B6G66	H0041-0263	USD	0.0000	31 March 2021	Yes									0.1133
Heptagon Fund Plc	Yacktman US Equity Fund	Class AG1 GBP	IE00B94QQZ31	H0041-0015	USD	0.0000	31 March 2021	No									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class B US$	IE00B65TVH45	H0041-0008	USD	0.0000	31 March 2021	Yes									0.9467
Heptagon Fund Plc	Yacktman US Equity Fund	Class C US$	IE00B3LHWB51	H0041-0011	USD	0.9763	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class CD US$	IE00B94SQZ96	H0041-0044	USD	0.0054	31 March 2021	Yes	0.6563	01 October 2020							0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class CE EUR	IE00B94Y4976	H0041-0432	USD	0.0000	31 March 2021	No									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class CEH EUR	IE00BYNG3P88	H0041-0436	USD	0.0883	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class CGD GBP	IE00B94NPD56	H0041-0046	USD	0.0041	31 March 2021	Yes	0.5831	01 October 2020							0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class I US$	IE00B61H9W66	H0041-0010	USD	0.6268	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class I1 US$	IE00B94T1N53	H0041-0012	USD	0.5506	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class ID US$	IE00B94QL475	H0041-0047	USD	0.0056	31 March 2021	Yes	0.4475	01 October 2020							0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class IE EUR	IE00B94V0W34	H0041-0104	USD	0.6326	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class IEH EUR	IE00BYNG3D66	H0041-0264	USD	0.0903	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class IG GBP	IE00B94X8G99	H0041-0018	USD	0.7183	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class IGD GBP	IE00B94RDY44	H0041-0020	USD	0.0096	31 March 2021	Yes	0.7241	01 October 2020							0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class X US$	IE00BD08K630	H0041-0406	USD	0.8437	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class XD US$	IE00BYWM2G14	H0041-0413	USD	0.0000	31 March 2021	No									0.0000
Heptagon Fund Plc	Yacktman US Equity Fund	Class XG GBP	IE00BYWM2Q12	H0041-0416	USD	0.2209	31 March 2021	Yes									0.0219
Heptagon Fund Plc	Yacktman US Equity Fund	Class XGD GBP	IE00BYWM2R29	H0041-0417	USD	0.0054	31 March 2021	Yes	0.1557	01 October 2020							0.0000
Heptagon Fund Plc	Driehaus Emerging Markets Equity Fund	Class A US$	IE00B76BMG52	H0041-0001	USD	0.0000	31 March 2021	Yes									0.0149
Heptagon Fund Plc	Driehaus Emerging Markets Equity Fund	Class B US$	IE00B6R1HD97	H0041-0034	USD	0.0000	31 March 2021	Yes									16.5653
Heptagon Fund Plc	Driehaus Emerging Markets Equity Fund	Class C US$	IE00B6ZZNB36	H0041-0003	USD	0.5013	31 March 2021	Yes									0.4558
Heptagon Fund Plc	Driehaus Emerging Markets Equity Fund	Class CE EUR	IE00B956PN32	H0041-0258	USD	1.4402	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus Emerging Markets Equity Fund	Class I US$	IE00B6R5J564	H0041-0002	USD	0.0407	31 March 2021	Yes									0.8524
Heptagon Fund Plc	Driehaus Emerging Markets Equity Fund	Class I1 US$	IE00B954K981	H0041-0027	USD	0.0636	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus Emerging Markets Equity Fund	Class IE EUR	IE00B9543B11	H0041-0149	USD	0.1044	31 March 2021	Yes									0.1080
Heptagon Fund Plc	Driehaus Emerging Markets Equity Fund	Class IF US$	IE00BK019270	H0041-0260	USD	0.0520	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus Emerging Markets Equity Fund	Class IG GBP	IE00B94X8B45	H0041-0028	USD	0.0755	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus Emerging Markets Equity Fund	Class SGB GBP	IE00BHC0KZ94	H0041-0103	USD	0.3531	31 March 2021	Yes									0.4209
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class A US$	IE00BH6XS969	H0041-0075	USD	0.2923	31 March 2021	Yes									0.1662
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class AG GBP	IE00BH6XSH40	H0041-0082	USD	0.3435	31 March 2021	Yes									0.0737
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class C US$	IE00BH4GY777	H0041-0076	USD	1.0218	31 March 2021	Yes									0.1430
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class CD US$	IE00BH4GY884	H0041-0087	USD	0.0000	31 March 2021	Yes	0.8995	01 October 2020							0.0000
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class CE EUR	IE00BH4GY991	H0041-0252	USD	1.3227	31 March 2021	Yes									0.1717
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class CEH EUR	IE00BYNFXC20	H0041-0253	USD	1.0856	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class CG GBP	IE00BH6XSP24	H0041-0088	USD	1.8557	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class CGD GBP	IE00BH6XSQ31	H0041-0089	USD	0.0000	31 March 2021	Yes	1.8064	01 October 2020							0.0000
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class I US$	IE00BH4GYB17	H0041-0077	USD	0.6591	31 March 2021	Yes									0.1675
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class I1 US$	IE00BH6XSR48	H0041-0090	USD	0.6397	31 March 2021	Yes									0.5715
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class IE EUR	IE00BH4GYD31	H0041-0147	USD	0.8395	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class IE1 EUR	IE00BH6XST61	H0041-0255	USD	1.0330	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class P US$	IE00BQZJ5081	H0041-0254	USD	1.6060	31 March 2021	Yes									0.8222
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class S US$	IE00BH6XSZ22	H0041-0097	USD	1.2233	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class SGB GBP	IE00BJ04CZ35	H0041-0099	USD	0.9843	31 March 2021	Yes									0.4157
Heptagon Fund Plc	Kopernik Global All-Cap Equity Fund	Class Y US$	IE00BJV2FJ13	H0041-0418	USD	1.6813	31 March 2021	Yes									0.0458
Heptagon Fund Plc	Harvest China A Shares Equity Fund	Class A US$	IE00BN579106	H0041-0138	USD	0.0000	31 March 2021	No									2.2346
Heptagon Fund Plc	Harvest China A Shares Equity Fund	Class C US$	IE00BN579213	H0041-0139	USD	0.0000	31 March 2021	No									0.0000
Heptagon Fund Plc	Heptagon European Focus Equity Fund	Class AE EUR	IE00BPT33X84	H0041-0112	EUR	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon European Focus Equity Fund	Class B US$	IE00BPT33S32	H0041-0108	EUR	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon European Focus Equity Fund	Class BH US$	IE00BVVQH355	H0041-0251	EUR	0.0000	31 March 2021	No									0.0000
Heptagon Fund Plc	Heptagon European Focus Equity Fund	Class CE EUR	IE00BPT34575	H0041-0120	EUR	0.0147	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon European Focus Equity Fund	Class CG GBP	IE00BPT34682	H0041-0121	EUR	0.0204	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon European Focus Equity Fund	Class CH US$	IE00BVVQH462	H0041-0250	EUR	0.0486	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon European Focus Equity Fund	Class IE EUR	IE00BPT34C47	H0041-0126	EUR	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon Future Trends Equity Fund	Class A US$	IE00BYWKMH61	H0041-0203	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon Future Trends Equity Fund	Class C US$	IE00BYWKMJ85	H0041-0214	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon Future Trends Equity Fund	Class CD US$	IE00BYWKN089	H0041-0215	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon Future Trends Equity Fund	Class CG GBP	IE00BYWKN283	H0041-0216	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon Future Trends Equity Fund	Class S US$	IE00BL3VYY79	H0041-0420	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon Future Trends Equity Fund	Class Y US$	IE00BJXCZ994	H0041-0419	USD	0.0071	31 March 2021	Yes									0.0405
Heptagon Fund Plc	Heptagon Future Trends Hedged Fund	Class A US$	IE00BZBZ4942	H0041-0435	USD	0.0000	31 March 2021	Yes									0.0217
Heptagon Fund Plc	Driehaus US Micro Cap Equity Fund	Class A US$	IE00BDB52V94	H0041-0293	USD	0.0000	31 March 2021	Yes									2.3654
Heptagon Fund Plc	Driehaus US Micro Cap Equity Fund	Class B US$	IE00BDB53G19	H0041-0302	USD	0.0000	31 March 2021	Yes									7.3314
Heptagon Fund Plc	Driehaus US Micro Cap Equity Fund	Class C US$	IE00BDB53K54	H0041-0304	USD	0.0000	31 March 2021	Yes									0.1950
Heptagon Fund Plc	Driehaus US Micro Cap Equity Fund	Class CEH EUR	IE00BDB53S31	H0041-0430	USD	0.0000	31 March 2021	No									0.6483
Heptagon Fund Plc	Driehaus US Micro Cap Equity Fund	Class CGH GBP	IE00BJ6ZSV93	H0041-0412	USD	0.0000	31 March 2021	Yes									7.9632
Heptagon Fund Plc	Driehaus US Micro Cap Equity Fund	Class I US$	IE00BDB53Y90	H0041-0308	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus US Micro Cap Equity Fund	Class S US$	IE00BDB54K20	H0041-0317	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus US Micro Cap Equity Fund	Class SGB GBP	IE00BDB54W42	H0041-0319	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class A US$	IE00BYZ09279	H0041-0265	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class AD US$	IE00BYZ09832	H0041-0267	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class AGD GBP	IE00BYZ09L63	H0041-0272	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class C US$	IE00BYZ09Q19	H0041-0276	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class CD US$	IE00BYZ09W78	H0041-0277	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class CE EUR	IE00BYZ09X85	H0041-0322	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class CED EUR	IE00BZ7PBK94	H0041-0323	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class CEH EUR	IE00BYZ09Y92	H0041-0321	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class CGD GBP	IE00BYZ0B106	H0041-0279	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class I US$	IE00BYZ0B213	H0041-0280	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class I1 US$	IE00BYZ0B320	H0041-0281	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class IE1 EUR	IE00BYZ0BC16	H0041-0433	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class IEH EUR	IE00BYZ0BG53	H0041-0429	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class S US$	IE00BYZ0BP45	H0041-0289	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class SE EUR	IE00BYZ0BR68	H0041-0431	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	WCM Global Equity Fund	Class Y US$	IE00BJV2FP72	H0041-0415	USD	0.2396	31 March 2021	Yes									0.0199
Heptagon Fund Plc	WCM Global Equity Fund	Class Y2 US$	IE00BMGM5C05	H0041-0421	USD	0.0497	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon Listed Private Assets Fund	Class C US$	IE00BF1KTD57	H0041-0339	USD	3.0811	31 March 2021	Yes									0.7870
Heptagon Fund Plc	Heptagon Listed Private Assets Fund	Class C2 US$	IE00BF1KTJ10	H0041-0346	USD	0.4969	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon Listed Private Assets Fund	Class C2D US$	IE00BF2P0VB3	H0041-0347	USD	0.0000	31 March 2021	Yes	0.8471	02 January 2020	0.7047	01 April 2020	0.5851	01 July 2020	0.6873	01 October 2020	0.0000
Heptagon Fund Plc	Heptagon Kettle Hill US L/S Equity Fund	Class CGDH1 GBP	IE00BF2QMD70	H0041-0407	USD	0.0000	31 March 2021	No									0.0000
Heptagon Fund Plc	Heptagon Kettle Hill US L/S Equity Fund	Class CGH1 GBP	IE00BF2QMF94	H0041-0408	USD	0.0000	31 March 2021	No									0.2525
Heptagon Fund Plc	Heptagon Kettle Hill US L/S Equity Fund	Class I US$	IE00BF1D7H82	H0041-0387	USD	0.0000	31 March 2021	Yes									4.9771
Heptagon Fund Plc	Heptagon Kettle Hill US L/S Equity Fund	Class Y US$	IE00BF1D8534	H0041-0404	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Heptagon Kettle Hill US L/S Equity Fund	Class Z US$	IE00BF1D8971	H0041-0405	USD	0.0000	31 March 2021	Yes									0.0925
Heptagon Fund Plc	Driehaus US Small Cap Equity Fund	Class A US$	IE00BH3Z9P67	H0041-0422	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus US Small Cap Equity Fund	Class B US$	IE00BH3ZB960	H0041-0423	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus US Small Cap Equity Fund	Class C US$	IE00BH3ZBB87	H0041-0410	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus US Small Cap Equity Fund	Class C1 US$	IE00BK6NCC80	H0041-0411	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus US Small Cap Equity Fund	Class S US$	IE00BH3ZJB48	H0041-0424	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Driehaus US Small Cap Equity Fund	Class X1 US$	IE00BGMG4381	H0041-0409	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Summit Sustainable Opportunities L/S Equity F	Class C US$	IE00BJVLSZ54	H0041-0425	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Summit Sustainable Opportunities L/S Equity F	Class CG GBP	IE00BJVLTH48	H0041-0426	USD	0.0000	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Levin Easterly US Equity Fund	Class C US$	IE00BMX0K335	H0041-0427	USD	0.4893	31 March 2021	Yes									0.0000
Heptagon Fund Plc	Levin Easterly US Equity Fund	Class Y US$	IE00BMY7BW96	H0041-0428	USD	0.6441	31 March 2021	Yes									0.0000